

Docket No. 212628US0DIV/hc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akiyoshi KAWAOKA, et al.

SERIAL NO: 09/928,412

GAU: 1638

FILED: August 14, 2001

EXAMINER:

FOR: TRANSCRIPTION FACTOR CONTROLLING PHENYLPROPANOID BIOSYNTHESIS PATHWAY

TECH CENTER 1600/2900

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INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached European Search Report listed in the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 212628US0DIV		SERIAL NO. 09/928,412	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Akiyoshi KAWAOKA, et al.		GROUP 1638	
				FILING DATE August 14, 2001		TECH CENTER 1638	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILED DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AL	WO 98/03535	01/29/98	WIPO			
	AM	WO 95/27790	10/19/95	WIPO (with English Abstract)			X
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	W. DRÖGE-LASER, et al., The EMBO Journal, vol. 16, no. 4, XP-002200476, pages 726-738, "RAPID STIMULATION OF A SOYBEAN PROTEIN-SERINE KINASE THAT PHOSPHORYLATES A NOVEL bZIP DNA-BINDING PROTEIN, G/HBF-1, DURING THE INDUCTION OF EARLY TRANSCRIPTION-DEPENDENT DEFENSES", February 17, 1997					
	AX	L. TAMAGNONE, et al., The Plant Cell, vol. 10, XP-000882304, pages 135-154, "THE AmMYB308 AND AmMYB330 TRANSCRIPTION FACTORS FROM ANTIRRHINUM REGULATE PHENYLPROPANOIC AND LIGNIN BIOSYNTHESIS IN TRANSGENIC TOBACCO", February 1998					
	AY	A. KAWAOKA, et al., The Plant Journal, vol. 22, no. 4, XP-002200477, pages 289-301, "FUNCTIONAL ANALYSIS OF TOBACCO LIM PROTEIN Ntlim1 INVOLVED IN LIGNIN BIOSYNTHESIS", May 2000					
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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